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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER 740789-56330 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/521242 To be assigned |
| INTERNATIONAL APPLICATION NO. PCT/GB2003/003054 | INTERNATIONAL FILING DATE 14 July 2003 (14.07.2003) | PRIORITY DATE CLAIMED 13 July 2002 (13.07.2002) |
| TITLE OF INVENTION FLAVONOID COMPOUNDS AS THERAPEUTIC ANTIOXIDANTS | | |
| APPLICANT(S) FOR DO/EO/US ROWETT RESEARCH INSTITUTE; CALDWELL, Stuart Thomas; BENNETT, Christopher James; HARTLEY, Richard Charles; MCPHAIL, Donald Barton and DUTHIE, Garry Graeme | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: See Attachment 1 | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/521232 <i>To be assigned</i> | | INTERNATIONAL APPLICATION NO. PCT/GB2003/003054 | | ATTORNEY'S DOCKET NUMBER 740789-56330 | |
| 21. The following fees are submitted: | | | | Applicant use | Office use only |
| <input checked="" type="checkbox"/> a) Basic national fee..... \$300.00 | | | | \$ 300.00 | |
| <input checked="" type="checkbox"/> b) Examination fee..... \$200.00 | | | | \$ 200.00 | |
| <input checked="" type="checkbox"/> c) Search fee..... \$500.00 | | | | \$ 500.00 | |
| TOTAL OF ABOVE CALCULATIONS = \$1000.00 | | | | \$ 1,000.00 | |
| <input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | |
| 130 - 100 = | 30 /50 = | 1 | x \$250.00 | \$ 250.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ 0.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claims | 42 - 20 = | 22 | x \$50.00 | \$ 1,100.00 | |
| Independent claims | 9 - 3 = | 6 | x \$200.00 | \$ 1,200.00 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$360.00 | \$ 0.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 3,550.00 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$ 1,775.00 | |
| SUBTOTAL = | | | | \$ 1,775.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ 0.00 | |
| TOTAL NATIONAL FEE = | | | | \$ 1,775.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ 0.00 | |
| TOTAL FEES ENCLOSED = | | | | \$ 1,775.00 | |
| Amount to be refunded: | | | |  | \$ |
| Amount to be charged | | | |  | \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,775.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0850</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: David S. Resnick Nixon Peabody LLP 100 Summer Street Boston, MA 02110 US | | | | | |
| | | | |  SIGNATURE David S. Resnick/Nicole L.M. Valtz NAME 34,235/47,150 REGISTRATION NUMBER | |

10/521232

DT15 Rec'd PCT/PTO 13 JAN 2005

Addendum

Attachment 1

Express Mail Certificate ET868722428US; PCT 210; PCT WO 2004/007475
coversheet; COPY - Citations as listed in the ISR; Check \$1,775.00; and Return
Receipt Postcard

10/521232

DT15 R PCT/PTO 13 JAN 2005

Practitioner's Docket No. 740789-56330

PATENT

IN THE UNITED STATES RECEIVING OFFICE

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|-------------------------------------|------------------------------|---|
| PCT/GB2003/003054 | 14 July 2003 (14.07.2003) | 13 July 2002 (13.07.2002) |
| International Application Number | International Filing Date | International Earliest Priority Date |

TITLE OF INVENTION: FLAVONOID COMPOUNDS AS THERAPEUTIC
ANTIOXIDANTS

APPLICANT(S) FOR EO/DO: ROWETT RESEARCH INSTITUTE

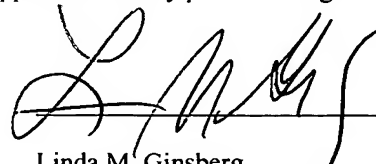
INVENTOR(S)/APPLICANT(S) FOR US: CALDWELL, Stuart Thomas; BENNETT, Christopher
James; HARTLEY, Richard Charles; MCPHAIL,
Donald Barton; DUTHIE, Garry GraemeVERIFIED CERTIFICATION OF EXPRESS MAILING DATE
(INTERNATIONAL APPLICATION (37 C.F.R. section 1.10(c)))

I declare that, on January 13, 2005 I deposited, with the United States Postal Service, in an envelope "Express Mail, Post Office to Addressee," bearing Label Number ET 868722428 US, addressed to the "MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450," and having an express mail certification that I executed, the following papers:

1. Express Mail Certificate ET 868722428 US (1 pg.);
2. Transmittal Letter to the U.S. Receiving Office Concerning a Filing Under 35 U.S.C. 371 (duplicate pg. 2) (4 pp.);
3. Application Data Sheet (3 pp.);
4. Preliminary Amendment (17 pp.);
5. Published PCT WO 2004/007475 A1 (coversheet, 1 pg.);
6. International Search Report - Form PCT/ISA 210 (8 pp.);
7. Information Disclosure Statement, including Forms 08 a and b (4 pp.);
8. COPY - citations cited in International Search Report;
9. Check - \$1,775.00; and
10. Return Receipt Postcard.

A copy of these papers from the file of this application is attached.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Date: January 13, 2005

Linda M. Ginsberg
(type or print name of person certifying)